



Mutual Funds License Details

Governing Body	License Renewal Date	Continuing Education (CE) Requirements					Conditions / Restrictions
		Category	Minimum	Maximum	Carryover	Verifiable	
Investment Industry Regulatory Organization of Canada (IIROC)	3 years - December 31, 2017	Total	42	42	0	Yes	
		Professional Development	30	30	0	Yes	
		Compliance	12	12	0	Yes	
Investment Industry Regulatory Organization of Canada (IIROC)	NEW as of Jan 1, 2018: 2 years - December 31, 2019	Total	30	30	0	Yes	
		Professional Development	20	20	0	Yes	
		Compliance	10	10	0	Yes	
Mutual Funds Dealers Association (MFDA)	2 years - December 31, 2020 (CE Requirements listed here are proposed to begin on January 1, 2018, but are not yet in force)	Total	32	32	0	Yes	
		Professional Development	20	20	0	Yes	
		Business Conduct	10	10	0	Yes	
		MFDA Compliance	2	2	0	Yes	